1	IN THE SUPREME COURT OF THE UNITED STATES
2	x
3	EVAN MILLER, :
4	Petitioner : No. 10-9646
5	v. :
6	ALABAMA :
7	x
8	Washington, D.C.
9	Tuesday, March 20, 2012
10	
11	The above-entitled matter came on for ora
12	argument before the Supreme Court of the United States
13	at 10:25 a.m.
14	APPEARANCES:
15	BRYAN A. STEVENSON, ESQ., Montgomery, Alabama; on
16	behalf of Petitioner.
17	JOHN C. NEIMAN, JR., ESQ., Solicitor General,
18	Montgomery, Alabama; on behalf of Respondent.
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1	PROCEEDINGS
2	(10:25 a.m.)
3	CHIEF JUSTICE ROBERTS: We'll hear argument
4	first this morning in Case 10-9646, Miller v. Alabama.
5	Mr. Stevenson.
6	ORAL ARGUMENT OF BRYAN A. STEVENSON
7	ON BEHALF OF THE PETITIONER
8	MR. STEVENSON: Mr. Chief Justice, and may
9	it please the Court:
10	In Graham v. Florida, this Court recognized
11	that children are inherently characterized by internal
12	attributes and external circumstances that preclude a
13	finding of a degree of culpability that would make a
14	sentence of life imprisonment without the possibility of
15	parole constitutionally permissible under the Court's
16	Eighth Amendment excessiveness analysis.
17	While the issue in Graham involved juveniles
18	that were convicted of non-homicide offenses, these
19	deficits in maturity and judgment and decisionmaking are
20	not crime-specific. All children are encumbered with
21	the same barriers that this Court has found to be
22	constitutionally relevant before imposition of a
23	sentence of life imprisonment without parole or the
24	death penalty.
25	In fact, in Roper, this Court acknowledged

- 1 that these differences between children and adults exist
- 2 even in the cases involving the most aggravated murders.
- 3 These deficits, these differences, are even more
- 4 pronounced in young children.
- 5 JUSTICE GINSBURG: Mr. Stevenson, but in
- 6 Roper, the Court also made the point -- when it ruled
- 7 out the death penalty, it said, "To the extent the
- 8 juvenile death penalty might have residual deterrent
- 9 effect, it is worth noting that the punishment of life
- 10 imprisonment without the possibility of parole is itself
- 11 a severe sanction."
- So, the Court in Roper seemed to be
- 13 anticipating this case and suggesting that -- that it
- 14 was all right, it was constitutional.
- MR. STEVENSON: There's no question, Justice
- 16 Ginsburg, that the -- the default sentence in Roper was
- 17 life imprisonment without parole, but we actually think
- 18 that, specifically with regard to that provision, there
- 19 is no greater deterrent effect, and these deficits, that
- 20 these problems that children experience, lend themselves
- 21 to an analysis that is subject when the punishment is
- 22 life imprisonment without parole. Like the death
- 23 penalty --
- JUSTICE SCALIA: What about 50 years? Is
- 25 that -- is that too much?

- 1 MR. STEVENSON: What the Court held in -- in
- 2 Graham --
- JUSTICE SCALIA: Well, you know, once --
- 4 once you depart from the principle that we've enunciated
- 5 that death is different, why is life without parole
- 6 categorically different from 60 years or 70 years or --
- 7 you know, you'd be back here next term with a 60-year
- 8 sentence?
- 9 MR. STEVENSON: Justice Scalia, I think
- 10 you're absolutely right, that there is a point at which
- 11 a term of year sentence could constitute the same kind
- 12 of judgment --
- JUSTICE SCALIA: Okay.
- 14 MR. STEVENSON: -- as life imprisonment
- 15 without parole.
- JUSTICE SCALIA: Good.
- 17 MR. STEVENSON: But there is a distinction
- 18 obviously between life imprisonment without parole and
- 19 any other term sentence. Those sentences in most
- 20 instances, if the sentence is not too extreme, do permit
- 21 the possibility of release. And what this Court held in
- 22 Graham is not that the State forfeits the ability to
- 23 incarcerate for life --
- JUSTICE SCALIA: I'll change my -- I'll
- 25 change my question to 50 years without possibility of

- 1 parole. 2 MR. STEVENSON: Yes. And --3 JUSTICE SCALIA: Then you have no -- no distinction, right? 4 5 MR. STEVENSON: Well, I think there, it б would be a tough case. I think imposed on a juvenile, a 7 50-year sentence --8 JUSTICE SCALIA: Without --9 MR. STEVENSON: -- would not create the meaningful possibility of release that this Court 10 11 ordered in the Graham context. It would be right on the 12 line, but I think 50 years would actually be on the other side of a meaningful possibility of release. It 13 would be sort of a cynical reaction, if this Court were 14 15 to say we ban life without parole for these kinds of 16 offenders, it would be somewhat problematic to suggest that we're going to get as close to death as possible 17 and then facilitate some kind of review. I think what 18 19 we're interested in --20 JUSTICE SCALIA: How about 15 years old? 15, 60 years; or 14, 70 years? 21 2.2 MR. STEVENSON: I think all of the --
- 23 JUSTICE SCALIA: What -- what's the
- 24 distinction between 14 and 15?
- 25 MR. STEVENSON: Well, I think from a

- 1 sentencing perspective, all of those sentences would be
- 2 problematic. But the distinction between a 14-year-old
- 3 and a 15-year-old for constitutional purposes that, of
- 4 course, the younger you are, the more compelling are
- 5 these deficits, these distinctions, that --
- 6 JUSTICE SCALIA: I understand, but how are
- 7 we -- how are we to know where to draw those lines? We
- 8 can't do it on the basis of any historical tradition,
- 9 certainly.
- 10 MR. STEVENSON: Well, I think that --
- 11 JUSTICE SCALIA: The common law left it up
- 12 to the jury to take account of the youthfulness of the
- 13 offender.
- MR. STEVENSON: Well, what I think --
- JUSTICE SCALIA: They're all entitled to
- 16 jury trial, right, before their --
- 17 MR. STEVENSON: Well, that's true. But of
- 18 course in this case, Justice Scalia, and in the other
- 19 case, there was no discretion for the sentence. Neither
- 20 the judge nor the jury could give any effect to the age
- 21 of Evan Miller, who was 14. But I also think that we've
- 22 identified lots of laws that make these distinctions.
- 23 We do provide for greater responsibilities --
- JUSTICE GINSBURG: Would that satisfy you if
- 25 the -- if it were not a mandatory term and it was left

- 1 to the trier to put -- put in all the mitigating
- 2 circumstances?
- 3 MR. STEVENSON: That would not satisfy me,
- 4 Justice Ginsburg, for all the reasons that this Court
- 5 acknowledged in Graham.
- 6 That -- that the problem with many of these
- 7 crimes is that the offense itself can overwhelm all of
- 8 these mitigating factors, all of these aspects of
- 9 juvenile decisionmaking that we think are
- 10 constitutionally permissible. The other problem is that
- 11 we still can't make good judgments about whether a
- 12 child -- whether these characteristics are transitory or
- 13 permanent.
- JUSTICE KENNEDY: So, you're saying it would
- 15 be unprincipled for us to say -- or at least unsupported
- 16 for us to say -- that the sentence cannot be mandatory,
- 17 but that in some cases, it might still be imposed.
- 18 MR. STEVENSON: I think it would be
- 19 principled to -- to kind of strike down mandatory
- 20 sentences, but I think constitutionally what this Court
- 21 has recognized in Roper and in Graham, that it would be
- 22 a -- a mistake to equate kids with adults. And we don't
- 23 have the ability to make those judgments even if we
- 24 create a different kind of process.
- JUSTICE SCALIA: Even --

- 1 JUSTICE KENNEDY: If you take that off the
- 2 table, then you leave us with nothing but saying that
- 3 the sentence is never permitted or that it's always
- 4 permitted.
- 5 MR. STEVENSON: Well, I -- I don't mean to
- 6 take it off the table; I just mean to argue, as we did
- 7 previously, that a categorical ban would be consistent
- 8 with the Court's understanding about child status and
- 9 development.
- 10 JUSTICE ALITO: If you could write the
- 11 opinion for us, what would you hold?
- 12 MR. STEVENSON: I would hold that children
- 13 are categorically prohibited from being subjected to
- 14 sentences --
- 15 JUSTICE ALITO: What's -- what's the
- 16 definition of a child for that purpose?
- MR. STEVENSON: Well, we've presented data
- 18 in this case that would exclude a youth 14 and younger.
- 19 No State that has set a minimum age for life without
- 20 parole has set it beneath the age of 15, other than one.
- 21 And so, we -- we would make that holding. I do think it
- 22 would be --
- JUSTICE ALITO: So, you -- you would hold
- 24 you can't -- there cannot be a sentence of life
- 25 imprisonment without parole for anyone under 15, but for

- 1 anybody over 15, it would be permissible.
- MR. STEVENSON: No, I would also hold, Your
- 3 Honor, that a mandatory sentence for that cohort would
- 4 also be in violation of this Eighth Amendment principle.
- 5 JUSTICE GINSBURG: Well, you could say you
- 6 reserve that question for another day.
- 7 MR. STEVENSON: Well, I think that the
- 8 problem, Justice Ginsburg, is -- is that these cases
- 9 with the mandatory sentencing aspects to them create
- 10 kind of a data issue that this Court has usually relied
- 11 on to kind of generate an interest.
- 12 I think right now, we know that excluding
- 13 considerations of age and character in a sentencing
- 14 determination of life imprisonment without parole is
- 15 problematic. The Court in --
- 16 JUSTICE ALITO: Can you tell us where the
- 17 age line needs to be drawn for constitutional purposes?
- 18 MR. STEVENSON: I -- I would draw it at 18,
- 19 Justice Alito, because we've done that previously; we've
- 20 done that consistently.
- 21 JUSTICE ALITO: That's where you think the
- 22 logic of your argument leads.
- 23 MR. STEVENSON: That's exactly right.
- JUSTICE ALITO: And you would say that a
- 25 17 -- a person of 17 years and 10 months, 11 months, who

- 1 commits the worst possible string of offenses still --
- 2 and demonstrates great maturity -- still cannot be
- 3 sentenced to life imprisonment without parole.
- 4 MR. STEVENSON: That's right, for the same
- 5 reasons that we made that determination in Graham and
- 6 that the Court made that determination in Roper. I
- 7 understand that there are some tensions when we draw
- 8 those kinds of lines --
- JUSTICE SOTOMAYOR: I'm sorry. I thought
- 10 you just said a second earlier that you had a bifurcated
- 11 rule: No life without parole whatsoever for 15 and
- 12 under, and no mandatory life for 16 -- 15 and over.
- MR. STEVENSON: That -- that would be -- I'd
- 14 have two rules, Justice Sotomayor. My preferred rule
- 15 would be a categorical ban on all juveniles under the
- 16 age of 18. And I don't want to retreat from that in any
- 17 way. All of these deficits, all of these
- 18 characteristics, that we're talking about have been
- 19 recognized to apply to all youth up until the age of 18.
- JUSTICE SOTOMAYOR: How do you -- how do you
- 21 write the opinion to do the bifurcated rule? What
- 22 justifies an absolute ban at a certain age and a
- 23 modified ban above an age, and how do you deal with
- 24 Harmelin with respect to the second part of your rule --
- MR. STEVENSON: Yes.

- 1 JUSTICE SOTOMAYOR: -- if Harmelin says we
- 2 don't look at individualized sentencing? So, how do we
- 3 get rid of the mandatory if that's what we're were going
- 4 to do?
- 5 MR. STEVENSON: It's a challenge, and I --
- 6 and I concede that. But I -- so, the first part of my
- 7 answer would be that I think the easier rule to write
- 8 would be that there is a categorical ban on all life
- 9 without parole sentences for all children up until the
- 10 age of 18, acknowledging --
- 11 JUSTICE SCALIA: How -- how do I come to
- 12 that decision? What do I -- just consult my own
- 13 preferences on this matter? Something like 39 States
- 14 allow it. I mean, the American people, you know, have
- 15 decided that that's the rule. They allow it. And the
- 16 Federal government allows it.
- 17 So, I'm supposed to impose my -- my judgment
- 18 on -- on what seems to be a consensus of the American
- 19 people?
- MR. STEVENSON: Well, at least in this case,
- 21 you'd look to your precedent in Roper and in Graham,
- 22 which drew that line.
- 23 JUSTICE SCALIA: Well, that's not going to
- 24 help me, you know.
- MR. STEVENSON: I understand --

1	(Laughter.)
2	MR. STEVENSON: I understand,
3	Justice Scalia, but I don't think you can draw much
4	comfort in the fact that 39 jurisdictions make this
5	theoretically possible. That same number existed in the
6	Graham context. Most of those jurisdictions have not
7	addressed a minimum age for life without parole.
8	In fact
9	JUSTICE ALITO: What do you mean when you
10	say that, that they have not addressed it? If State law
11	allows it, have they not addressed it?
12	MR. STEVENSON: Yes. That is, what the
13	State permits is that
14	JUSTICE ALITO: So, legislators don't
15	understand that their law permits this?
16	MR. STEVENSON: I don't think we can read
17	into a transfer judgment, which is the only judgment
18	that they've made. They've said that some children of
19	some age can be treated like adults. They haven't
20	talked about what that what the punishment should be.
21	And the reason why I say that, Justice Alito, is that in
22	many of these States, there's no minimum age for trying
23	a child as an adult.
24	JUSTICE ALITO: But I don't really
25	understand this argument. You mean the legislatures

- 1 have enacted these laws, but they don't realize that,
- 2 under these laws, a -- a person under the age of 18 may
- 3 be sentenced to life imprisonment without parole for --
- 4 for murder. They don't understand that?
- 5 MR. STEVENSON: They -- they have not
- 6 considered that or adopted or endorsed it, would be more
- 7 accurate.
- 8 JUSTICE KENNEDY: That's difficult because
- 9 the statistics show there are 2,300 prisoners now under
- 10 sentence of -- with life without parole for juvenile
- 11 murders and they're -- that were committed under 18.
- 12 2,300 nationwide.
- MR. STEVENSON: That -- that's correct.
- 14 JUSTICE KENNEDY: So, it's very difficult to
- 15 assess your answer to Justice Alito that, oh, the
- 16 legislatures don't know about this.
- 17 MR. STEVENSON: Well, in -- that answer --
- 18 that number, Your Honor, is partly rooted in the fact
- 19 that these sentences are mandatory. There is no one
- 20 capable, once the court makes a decision to try the
- 21 child as an adult, to do anything to consider the status
- 22 of children.
- JUSTICE KAGAN: Mr. Stevenson --
- JUSTICE ALITO: If you think these
- 25 legislators don't understand what their laws provide,

- 1 why don't you contact them? And when they -- when you
- 2 tell them, do you realize that in your State a -- a
- 3 16-year-old or a 17-year-old may be sentenced to life in
- 4 prison without parole for murder, they'll say: Oh, my
- 5 gosh, I never realized that. Let's change the law.
- 6 MR. STEVENSON: Well, I -- I mean, I don't
- 7 think there are any legislatures that are -- that are
- 8 quick to make their sentences less -- more
- 9 compassionate, more responsive to -- to juvenile crime
- 10 of any sort.
- 11 JUSTICE ALITO: So, they've made a decision
- 12 on this. Now maybe it's a bad decision --
- MR. STEVENSON: Yes.
- 14 JUSTICE ALITO: -- but I really don't
- 15 understand how you can argue that they have not made a
- 16 decision on this --
- 17 MR. STEVENSON: I think --
- JUSTICE ALITO: -- and they are not aware of
- 19 what their law provides.
- MR. STEVENSON: Yes. I think the strength
- 21 of my argument, Justice Alito, is that the States that
- 22 have actually considered, discussed, and passed laws
- 23 setting a minimum age for life without parole have all
- 24 set that minimum age above 15. That's my primary
- 25 argument. Thirteen States have done it; all of them

- 1 except for one have set it at 18 --
- 2 JUSTICE ALITO: And you think there is a
- 3 difference between the State that says expressly a
- 4 juvenile below a certain age may be sentenced to life
- 5 imprisonment without parole and a State that says that
- 6 if a person is convicted of capital murder, that
- 7 sentence may be imposed and, in another -- in another
- 8 provision, says that juveniles may be transferred for
- 9 prosecution as adults.
- 10 MR. STEVENSON: I --
- 11 JUSTICE ALITO: There's a difference between
- 12 those two?
- MR. STEVENSON: There is. And that's
- 14 because the -- the transfer question, which is what
- 15 informs whether children can be subject to these
- 16 sentences or not, is a very different question. It's a
- 17 question about whether the juvenile system that may
- 18 mandate release at age 18 or age 21 is adequate for an
- 19 offender. It's not a judgment that that child should
- 20 therefore be subject to life imprisonment without
- 21 parole.
- 22 And so, you have this disconnect. You have
- 23 transfer judgments, which this Court recognized in
- 24 Thompson and in Graham were not proxies for sentencing
- 25 judgments. And because of that, it is a very different

- 1 calculation.
- 2 The second point is that if there is no
- 3 minimum age for trying children as adults or even
- 4 prosecuting children as adults, I think we'd have to
- 5 concede that there is an age at which a life without
- 6 parole sentence would be constitutionally impermissible
- 7 for any crime. And to the extent that the State hasn't
- 8 addressed that, which they clearly haven't -- you know,
- 9 in this cohort of 79 children with life without parole
- 10 for crimes at 14 and younger, more than half come from
- 11 States where there's no minimum age for trying children
- 12 as adults.
- 13 That means in that State, a 10-year-old
- 14 child would arguably have been contemplated by the
- 15 legislature to be an appropriate person for life without
- 16 parole, or an 8-year-old child and a 6-year-old child,
- 17 and I think that asks too much of these statutes.
- 18 JUSTICE SOTOMAYOR: Counsel, there is no
- 19 question that you're dealing with a much smaller
- 20 universe of children sentenced to life without parole
- 21 who are 14 and under. There's an argument that that's
- 22 because so few of them commit the crimes. But putting
- 23 that aside, the universe is rather small.
- MR. STEVENSON: Yes, Your Honor.
- 25 JUSTICE SOTOMAYOR: All right? There is a

- 1 much, much larger group, as Justice Kennedy pointed out,
- 2 for life without parole for juveniles at 15 and above.
- 3 MR. STEVENSON: Yes.
- 4 JUSTICE SOTOMAYOR: Go back to my question.
- 5 MR. STEVENSON: Yes. Yes.
- 6 JUSTICE SOTOMAYOR: I need an answer to it.
- 7 MR. STEVENSON: Yes.
- JUSTICE SOTOMAYOR: Which is, assuming --
- 9 MR. STEVENSON: Yes.
- 10 JUSTICE SOTOMAYOR: -- the bifurcated theory
- 11 that you proffered, tell me how we get around Harmelin.
- 12 How would you write that decision?
- MR. STEVENSON: Yes. Well, I think that,
- 14 first of all, what this Court has relied on when it has
- 15 looked at these numbers, what it has been trying to
- 16 figure out, are these objective indicia of society's
- 17 standards, its mores, its decency meter, if you will.
- 18 And we've looked at these numbers to inform us, are
- 19 these sentences that are -- that are consistent with
- 20 evolving standards of decency, or are they now beyond a
- 21 maturing society? And we've always found in these data
- 22 some measures.
- In the death penalty context, we've looked
- 24 at that in the Roper area, in the Atkins area, and we've
- 25 been able to make some judgments. The reason why we

- 1 could do it in these death penalty cases is that unlike
- 2 the cases here, the death penalty determination is
- 3 discretionary. The sentencer is required to consider
- 4 and evaluate a range of mitigating circumstances and
- facts, including age, that help us assess whether the
- 6 determination that death is the appropriate punishment
- 7 means something in a society still trying to evolve.
- 8 Here that's not true. The majority of these
- 9 sentences are mandatory. So, the number tells us less
- 10 about what the Constitution requires --
- 11 JUSTICE KAGAN: Mr. Stevenson, do you have
- 12 statistics about how many of these sentences are imposed
- in under 18-year-olds in nonmandatory States?
- 14 MR. STEVENSON: The -- the data on the
- 15 larger population is not as precise, Justice Kagan, as
- 16 it is with our younger population, but the majority of
- 17 States are mandatory States, and the estimates are about
- 18 that 85 percent of those sentences are mandatory
- 19 sentences. Certainly, the States that have the largest
- 20 populations -- Michigan, Pennsylvania -- these
- 21 States have mandatory regimes.
- JUSTICE KAGAN: So, you think it would be
- 23 true, going up to age 18, that 80-plus percent are
- 24 imposed in States that have mandatory systems?
- 25 MR. STEVENSON: That -- that's correct.

- 1 And, in fact, the overwhelming majority of those
- 2 sentences come from a handful of States where there is
- 3 very little discretion to impose a sentence other than
- 4 life imprisonment without parole.
- 5 And because of that feature, I don't think,
- 6 Justice Sotomayor, that the -- that the reliance on the
- 7 number is quite as powerful here as it has been in the
- 8 death penalty context, where that number represented a
- 9 very communal judgment with a lot of factors.
- 10 JUSTICE SOTOMAYOR: There wasn't a majority
- in theory in Harmelin, and -- but at least three
- 12 Justices spoke about a gross disproportionality.
- MR. STEVENSON: Right.
- 14 JUSTICE SOTOMAYOR: Is it your views that
- 15 life -- a mandatory life without parole for someone like
- 16 a juvenile is grossly disproportionate?
- 17 MR. STEVENSON: It is, for the very reasons
- 18 that the Court articulates in both Roper and Graham.
- 19 We're not arguing that life without parole is
- 20 disproportionate to the crime of aggravated murder.
- 21 We're arguing that the status of children, with all of
- 22 the deficits that childhood status creates, make that
- 23 kind of judgment cruel.
- JUSTICE KENNEDY: If we can focus on the
- 25 mandatory aspects of the case, I think -- I know you'd

- 1 prefer a more general rule -- it may be that we have to
- 2 have your general rule. I'm not sure. If I'm the trial
- 3 judge, and I have to determine whether or not I'm going
- 4 to give life without parole, and it's discretionary,
- 5 what -- what do I look at? Are -- can I get social
- 6 scientists to come in and tell me what the chances of
- 7 rehabilitation are? Are there -- are there statistics?
- Now, we have some quite compelling stories
- 9 of rehabilitation in this case. I don't know if they're
- 10 isolated; I don't know where they are in the statistical
- 11 universe of how often rehabilitation is -- is
- 12 demonstrated and is real. What do I look at? What's a
- 13 judge supposed to do?
- 14 MR. STEVENSON: Well, I think one of the
- 15 problems, Your Honor, with -- with trying to make these
- 16 judgments is that -- that even psychologists say that we
- 17 can't make good long-term judgments about the
- 18 rehabilitation and -- and transitory character of these
- 19 young people. That's the reason why in Graham this
- 20 Court didn't permit that kind of discretion. We know
- 21 that --
- JUSTICE SCALIA: Well, I thought that modern
- 23 penology has abandoned that rehabilitation thing, and
- 24 they -- they no longer call prisons reformatories or --
- 25 or whatever, and punishment is the -- is the criterion

- 1 now. Deserved punishment for crime.
- 2 MR. STEVENSON: Well --
- JUSTICE SCALIA: Now, if that's the
- 4 criterion, is everything that you say irrelevant?
- 5 MR. STEVENSON: I --
- 6 JUSTICE SCALIA: Let's assume I don't
- 7 believe in rehabilitation, as I think sentencing
- 8 authorities nowadays do not. Both at the Federal and
- 9 the State levels, it's been made clear.
- 10 MR. STEVENSON: Well, I -- I -- no. I think
- 11 it would still be relevant, Justice Scalia, but -- but I
- 12 also don't think that correctional facilities have
- identified themselves as having no role to play in the
- 14 rehabilitative process. I mean, one of the problems
- 15 with this sentence of life imprisonment without parole
- 16 is that it actually bans and shields this population
- 17 from a whole range of services that are specifically
- 18 designed to rehabilitate: education services, treatment
- 19 services, anger management programs. All of these
- 20 programs exist within prisons, including the Federal
- 21 prisons, because we do care how people perform when they
- 22 are released. And so, corrections is still very much
- 23 the heart and soul of what we do.
- But even if it wasn't, punishment
- 25 nonetheless has to be proportionate, and recognize that

- 1 it can be excessive. And what this Court has said is
- that when you're looking at children, to equate the
- 3 failings of a child and an adult would be cruel. It
- 4 would be unfair to -- given our knowledge and
- 5 understanding of what developmental science has taught
- 6 us and what we know about kids.
- JUSTICE KENNEDY: Well, again, it seems
- 8 you're just forcing us into a -- a bipolar position.
- 9 We're either going to say that you can't prevail at all
- 10 or that everyone under 18 is -- cannot get life without
- 11 parole. I don't see this middle course --
- MR. STEVENSON: Yes.
- JUSTICE KENNEDY: -- which you seem to have
- 14 abandoned, and you can't tell me how a judge would apply
- 15 it if we -- if we chose not to abandon it.
- MR. STEVENSON: Well, I -- I don't intend to
- 17 abandon it, Justice Kennedy. I mean, obviously, I'm
- 18 arguing for this categorical ban, but I think the Court
- 19 could obviously do something else.
- 20 We think that there is a basis for
- 21 concluding, unquestionably, that a child under the age
- 22 of 15 should not be exposed to life without parole based
- 23 on this Court's precedents and on the data that's
- 24 presented. The Court could set a categorical line there
- 25 and, at the same time, make a determination that

- 1 subjecting any child under the age of 18 to life without
- 2 parole where there is no ability to consider age is
- 3 fundamentally at odds with what this Court has now
- 4 constitutionally recognized in both Roper and Graham.
- 5 JUSTICE GINSBURG: Mr. Stevenson, may I ask
- 6 you a case -- a question specifically about the Miller
- 7 case? There were two boys involved in this horrendous
- 8 crime. The older one took a plea and got life with
- 9 parole. Was the plea offered to Miller?
- 10 MR. STEVENSON: No plea was offered to
- 11 Miller. The -- what tends to happen, and there was some
- 12 evidence of this that was developed earlier, is that the
- 13 question was who was going to give a statement first,
- 14 who was the most cooperative, whose lawyer is most
- 15 effective at accomplishing that. There were some
- 16 complaints. There's a postconviction pending now that
- 17 makes some allegations about what the lawyer didn't do
- 18 to facilitate a plea. But, no, there was no offer of
- 19 life with parole made to Evan Miller.
- 20 And one of the difficulties, of course, in
- 21 these cases is that, you know, the younger you are, the
- 22 more vulnerable you are, the less experienced you are,
- 23 and the less capable you are of managing these dynamics
- in the criminal justice system that sometimes can be
- 25 very outcome-determinative.

1	CHIEF JUSTICE ROBERTS: Any idea how many
2	juveniles subject to a sentence of life without parole
3	do plead to a lesser sentence?
4	MR. STEVENSON: Well, no, it's very hard to
5	determine, mostly because states don't keep data
6	CHIEF JUSTICE ROBERTS: Right.
7	MR. STEVENSON: on the issue.
8	CHIEF JUSTICE ROBERTS: Is there any reason,
9	just I realize it's speculation, but wouldn't you
10	think prosecutors would view that as a particularly
11	attractive offer to someone who's young in the sense
12	that they may regard the sentence as extraordinary
13	themselves, that it may be particularly attractive to
14	someone who's young in a way that it wouldn't be a
15	40-year-old, a an offer of 25 years may not be as
16	attractive as it is to a 15-year-old?
17	MR. STEVENSON: Well, they might. And I
18	would concede, Your Honor, that this population is kind
19	of less equipped to make determinations about whether to
20	take a plea or whether to not take a plea than an adult.
21	CHIEF JUSTICE ROBERTS: It might be also a
22	basis for to question the statistics you put forward
23	about how often
24	MR. STEVENSON: Yes.
25	CHIEF JUSTICE ROBERTS: this sentence is

- 1 actually imposed. In other words, the evolving
- 2 standards of decency you suggest -- the prosecutors in
- 3 the state may not be immune to that evolution, either.
- 4 MR. STEVENSON: They may not be, Your Honor,
- 5 but we haven't found sort of -- at least in this
- 6 population, any evidence that they are capable of
- 7 protecting children who, we believe at least, should be
- 8 protected. And one of the interesting things at least
- 9 looking at this cohort of 79, a great number of them
- 10 have older codefendants. Both of the kids in the cases
- 11 before the Court today have older codefendants who got
- 12 sentences that were less than life without parole. In
- 13 the Kuntrell Jackson case --
- 14 CHIEF JUSTICE ROBERTS: Well, but those
- 15 statistics aren't very helpful because we have no idea
- 16 in the particular cases as to whether or not perhaps the
- 17 older offender was less -- less guilty than the 16-,
- 18 17-, 15-year-old.
- 19 MR. STEVENSON: That -- that's right.
- 20 Although in some of these cases when you read the
- 21 opinions, you do see the evidence of the shooter not
- 22 getting the life without parole sentence and the
- 23 accomplice getting it. And I guess my point would be is
- 24 that --
- 25 JUSTICE SOTOMAYOR: That happened in

- 1 Jackson.
- 2 MR. STEVENSON: Yes, it did. Yes, it did.
- 3 And my point would be that it -- this younger population
- 4 is going to be disadvantaged in managing this aspect of
- 5 the process that I think is quite important when the
- 6 Court is trying to consider whether there should be a
- 7 categorical ban or something less than a categorical
- 8 ban.
- 9 And, Justice Kennedy, I don't mean to
- 10 suggest that the Court cannot, consistent with its
- 11 precedents, make a categorical ban under 17. But I also
- 12 don't mean to suggest that if the Court can't do that,
- 13 that there aren't ways of reconciling the precedents,
- 14 drawing a line at 15 and striking down mandatory life
- 15 without parole. I would urge, for the reasons that
- 16 we've stated, that in these circumstances it's better to
- 17 have a sentence where you can make a judgment about
- 18 rehabilitation and public safety later in life.
- 19 We're not arguing that the State has to give
- 20 away the authority to incarcerate someone even for the
- 21 rest of their life -- life without parole, which is
- 22 available in this State, Alabama, would facilitate that,
- 23 but creates a meaningful possibility of release that
- 24 this Court has ordered to be constitutionally necessary
- 25 in Graham v. Florida.

I see my white light is on. I	'll reserve
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- 2 the rest of my time for rebuttal.
- 3 CHIEF JUSTICE ROBERTS: Thank you,
- 4 Mr. Stevenson.
- 5 Mr. Neiman.
- 6 ORAL ARGUMENT OF JOHN C. NEIMAN, JR.,
- 7 ON BEHALF OF THE RESPONDENT
- 8 MR. NEIMAN: Thank you, Mr. Chief Justice,
- 9 and may it please the Court:
- 10 Imposing life without parole sentences on
- 11 aggravated murder offenders like Evan Miller is in line
- 12 with the national consensus, is morally justified, and
- is consistent with legitimate penological goals.
- I'd like to touch on all three of those
- 15 points at some juncture today if I can, but I'd like to
- 16 start if I can with the conversation Mr. Stevenson was
- 17 having with a few of the Justices about the national
- 18 consensus issue in this case and more particularly what
- 19 we can infer about the judgment of legislatures and
- 20 ultimately the people based on the statutes we have in
- 21 this case and the very different set of circumstances
- 22 we're looking at here then the circumstances the Court
- 23 was looking at in Graham.
- 24 Exhibit A on that front is the fact that out
- 25 of the 39 States or jurisdictions that allow this

- 1 sentence, as Mr. Stevens has indicated -- or Mr.
- 2 Stevenson has indicated, a good chunk of them, 27 in
- 3 all, make the sentence the minimum sentence under the
- 4 statute. That's an important fact both because it tells
- 5 us a little bit about the retributive goals that the
- 6 legislatures were trying to achieve through these
- 7 statutes, but it also --
- 8 JUSTICE KENNEDY: Life without parole is the
- 9 minimum?
- 10 MR. NEIMAN: Life without parole is the
- 11 minimum sentence for anyone who commits an aggravated
- 12 murder or at least certain kinds of aggravated murders
- in 27 of those jurisdictions.
- 14 JUSTICE KENNEDY: That's also -- that's also
- 15 the maximum because there could be no death penalty.
- MR. NEIMAN: For a juvenile, yes, Justice
- 17 Kennedy, that's correct. And effectively the message
- 18 that the legislatures are sending is that with respect
- 19 to aggravated murders, the worst of the worst kinds of
- 20 murders, there are effectively two sentences. There is
- 21 either the death penalty or there is some sort of
- 22 mitigating circumstance. The person is at least going
- 23 to serve life without parole in order to --
- JUSTICE BREYER: Of the numbers, the 79 to
- 25 82 -- I guess there's some disagreement whether it's 82

- 1 or 79. Regardless, in your opinion, or maybe it's in
- 2 the briefs, I just can't remember it, of those, say, 79,
- 3 how many are there for reasons of mandatory sentence
- 4 where they would not -- no one could consider the
- 5 individualized nature of the crime or the criminal?
- 6 MR. NEIMAN: We don't have precise
- 7 statistics, sir. I should say I --
- JUSTICE BREYER: What's your estimate?
- 9 MR. NEIMAN: I can't vouch to the statistics
- 10 on that point.
- 11 JUSTICE BREYER: That's all right. What's
- 12 your estimate?
- MR. NEIMAN: My answer is I don't know, in
- 14 terms of how many are mandatory and how many are not.
- 15 Mr. Stevenson --
- JUSTICE BREYER: Well, how many come from
- 17 the States that have this mandatory system? That
- 18 shouldn't be too hard to find out.
- 19 MR. NEIMAN: Well, overall, Mr. Stevenson
- 20 cited about 8 who were sentenced pursuant to
- 21 non-mandatory schemes of the 79 to 82.
- JUSTICE BREYER: Non-mandatory. So --
- MR. NEIMAN: Correct.
- JUSTICE BREYER: So, you think it's
- 25 almost -- it's probably 90 percent.

- 1 MR. NEIMAN: According to Mr. Stevenson's
- 2 statistics, it's about 90 percent of the cohort that
- 3 comes from the mandatory jurisdiction.
- 4 JUSTICE BREYER: And that's -- all right.
- 5 It's about 70 or 71, and I remember reading a statistic
- 6 somewhere where they managed to count up the number of
- 7 possibilities, i.e., serious murders committed by those
- 8 under 15 over 50 years or some long number of years, and
- 9 it was somewhere in the 70,000s, what was it? Or
- 10 20,000s? What was it?
- MR. NEIMAN: Your Honor, the statistics I
- 12 have seen that Mr. Stevenson cited in his reply brief
- 13 had 7500 --
- JUSTICE BREYER: Seventy-five hundred?
- 15 MR. NEIMAN: -- as the number of arrests of
- 16 persons under the age of 15 for committing homicide or
- 17 non-negligent manslaughter.
- JUSTICE BREYER: I'll read it.
- MR. NEIMAN: But that --
- JUSTICE BREYER: It's about 1 percent.
- MR. NEIMAN: It --
- 22 JUSTICE BREYER: One percent. If I carry
- 23 that number around in my mind, that 1 percent of those
- 24 who might have obtained this terrible penalty, 1 percent
- 25 are actually given it?

- 1 MR. NEIMAN: Your Honor, as Graham
- 2 indicated, that denominator is crucial. But the 7500
- 3 number cannot be the appropriate denominator for
- 4 determining whether actual sentencing practices indicate
- 5 a national consensus against this practice. The reason
- 6 why is because that 7500 number is not the number of
- 7 convictions; it's not the number of opportunities that
- 8 judges would have had to impose this sentence. It is
- 9 the number of arrests. And it's the number of arrests
- 10 over the course of 40 years in every jurisdiction,
- 11 including those that don't impose life without parole at
- 12 all.
- JUSTICE BREYER: I see. All right.
- JUSTICE SOTOMAYOR: Counsel --
- 15 JUSTICE ALITO: It's not even for homicide
- 16 offenses that would qualify for life imprisonment
- 17 without parole for an adult. It's for any non-negligent
- 18 homicide; isn't that right?
- 19 MR. NEIMAN: That's correct, Justice Alito.
- 20 And the real denominator here, the one the Court ought
- 21 to look at when it considers the role that actual
- 22 sentencing practices play in the analysis, ought to be
- 23 the number of aggravated murder convictions.
- JUSTICE BREYER: All right, but what's
- 25 the --

- 1 MR. NEIMAN: That's a number we don't have.
- 2 JUSTICE BREYER: It must be easier to get to
- 3 this, I guess, so I'll -- but I want to be sure you do
- 4 at some point. And I'm not certain it's a cruel and
- 5 unusual punishment argument. It may be more of a due
- 6 process argument. But I want to know the
- 7 justification -- given all those statistics that you've
- 8 seen and that was in Roper and so forth, procedurally
- 9 speaking, what is the justification for not giving the
- 10 defendant any opportunity to point to mitigating
- 11 features in his lack of development, in his age, in his
- 12 upbringing, et cetera? That to me is a difficult
- 13 question, but before we get to that topic, I'd -- go
- 14 ahead.
- JUSTICE SOTOMAYOR: Actually, I do want to
- 16 ask, and it dovetails with what Justice Breyer is
- 17 asking, the Edmund/Tison line for adults, which is we
- 18 can't execute someone who hasn't killed, intended to
- 19 kill or was reckless in killing. This is a question
- 20 more in the Jackson case, because I think it's an issue
- 21 there. But although all murder is heinous and
- 22 regrettable, there are different kinds of murder.
- 23 That's why some people are subject to the death penalty
- 24 and others are not. And I do see a world of difference
- 25 between the Miller killing and the Jackson killing,

- 1 vis-à-vis, the individual defendants' personal
- 2 liability.
- 3 So, assuming there are different kinds of --
- 4 of killings -- of murder, should we be looking at the
- 5 Edmund/Tison line at all? Should we be talking about
- 6 its application to juveniles in a different way?
- 7 Edmund/Tison basically, okay, felony murder if you know
- 8 that there's a gun involved, but should that line be the
- 9 same for juveniles?
- 10 And, if so, then how do you go back to
- 11 justifying, as Justice Breyer spoke about, the mandatory
- 12 nature of life imprisonment without parole, given that
- 13 not every juvenile is equal and not every murder is
- 14 equal with respect to them?
- 15 MR. NEIMAN: Justice Sotomayor, the clearest
- 16 line the Court could draw on this front would be the
- 17 line that the Court initially set out in Graham as
- 18 between homicide and non-homicide offenses. Perhaps
- 19 there would be some question about whether an Edmund
- 20 type felony murder counts as a homicide offense or not,
- 21 but my suggestion is that it would, at least if the
- 22 Court is looking for a clear line that wouldn't
- 23 undermine too much of what the Court set out in Graham
- 24 in terms of clearly distinguishing between homicide and
- 25 non-homicide offenders.

1	Nonetheless, I certainly agree that there
2	are fundamental differences between certain kinds of
3	murders, and I think that judgment is reflected in the
4	legislation we have in at least 27 of these States,
5	where aggravated murder in the very least carries with
6	it a life without parole sentence for any defendant
7	regardless of the mitigating circumstances.
8	JUSTICE SOTOMAYOR: That is not an
9	individual legislative determination. That is that
10	is just
11	MR. NEIMAN: It is a legislative
12	determination that aggravated murder as a class of
13	offenses is so contrary to society's values, and so
14	contrary to the dignity that we assume that every victim
15	ought to be afforded, that life without parole is the
16	appropriate sentence.
17	So I think there is an inference to be made
18	there about the legislative judgment, particularly
19	because the sentence is a minimum one. The three
20	Justice concurrence, you mentioned, Justice Sotomayor,
21	from Harmelin makes this point point quite vividly.
22	In Solem v. Helm, the Court had struck down
23	a sentence under the gross disproportionately analysis,
24	and the Harmelin concurrence indicated that the Court
25	was a little more comfortable doing that, because the

- 1 sentence in that case was above the minimum, and thus,
- 2 did not reflect the judgment of the legislature.
- 3 But when we are talking about the minimum
- 4 sentence, it's fair to infer that that is the sentence
- 5 that the legislature not as a class, in terms of a class
- of offenses, that would be the minimum appropriate
- 7 sentence for that particular crime. Now,
- 8 Justice Breyer --
- 9 CHIEF JUSTICE ROBERTS: When you -- it's a
- 10 little confusing to me, but when you refer to "minimum,"
- 11 I assume that was because of the statutes prior to
- 12 Graham had death as one of the other options, that that
- is no longer an option. So it's -- it's a little
- 14 awkward to refer to it as minimum when it's also the
- 15 maximum.
- MR. NEIMAN: That's correct,
- 17 Mr. Chief Justice.
- 18 CHIEF JUSTICE ROBERTS: When you have --
- 19 when an individual is prosecuted for an aggravated
- 20 murder that carries this sentence, is it typical to also
- 21 charge lesser included offenses?
- MR. NEIMAN: Yes, Mr. Chief Justice, and --
- 23 CHIEF JUSTICE ROBERTS: And -- and in
- 24 general, what is the distinction between exposure to
- 25 the -- the maximum crime and a lesser included crime?

- 1 In other words, what is the difference between
- 2 aggravated murder and manslaughter? It typically turns
- 3 on the state of mind, doesn't it?
- 4 MR. NEIMAN: That's correct,
- 5 Mr. Chief Justice.
- 6 CHIEF JUSTICE ROBERTS: So, is there any
- 7 reason to think that juries in the case where they have
- 8 the option for lesser included offenses might be
- 9 concerned in light of the age of the defendant about
- 10 whether or not the requisite intent was formed?
- It seems to me that some of the issues that
- 12 we have suggested justify a different treatment of
- 13 juveniles have to do with mental development, and those
- 14 same issues would be taken into account by a jury in
- 15 considering which of a list of offenses the juvenile
- 16 should be convicted of.
- 17 MR. NEIMAN: Mr. Chief Justice, it is
- 18 certainly within the realm of reason and possibility
- 19 for --
- JUSTICE GINSBURG: Was it -- was it a factor
- 21 in Miller's case? Was there a lesser -- lesser offense
- that was charged?
- 23 MR. NEIMAN: Yes, Justice Ginsburg, there
- 24 were lesser included charges of at least felony murder
- 25 which has a very different intent type element to it.

- 1 But Miller, at least with respect to the charge on
- 2 capital murder committed in the course of arson which is
- 3 an intentional murder was found guilty by the jury on
- 4 that charge.
- 5 JUSTICE GINSBURG: He was -- the -- there
- 6 was also a felony murder charge in the Miller case?
- 7 MR. NEIMAN: Yes, Justice Ginsburg, there
- 8 were two felony murder charges, one as to the robbery in
- 9 the case and one as to the arson in the case.
- 10 JUSTICE SCALIA: So -- so it may not be
- 11 realistic to speak of mandatory life without parole.
- 12 It's only mandatory if the youth is convicted of the
- 13 highest charge brought, but it remains within the power
- 14 of the jury, in light of the youth, to convict him of a
- 15 lesser offense which would not produce mandatory life
- 16 imprisonment without parole?
- 17 MR. NEIMAN: I suppose that's so,
- 18 Justice Scalia.
- 19 JUSTICE KENNEDY: Are juries instructed that
- 20 life without parole is a necessary consequence of their
- 21 decision? I suppose a defense attorney could argue it.
- MR. NEIMAN: Justice Kennedy, actually, I
- 23 think you are right to the extent you are suggesting
- 24 that juries probably don't -- aren't actually instructed
- 25 on that point. In fact, it would probably be reversible

1	error, I suppose
2	JUSTICE KENNEDY: I would think so.
3	MR. NEIMAN: for a jury to be instructed
4	on that point. Nonetheless, the judgment that
5	legislatures have reached in terms of setting life
6	without parole as a floor for, you know, any murderer is
7	one that was that is reasonable and justified and
8	JUSTICE KAGAN: Mr. Neiman, I wonder if we
9	can go back to the issue that Justice Breyer left on the
10	table, and this doesn't have much to do with how many
11	States do what, but instead just to say that in the
12	death penalty context, we have insisted on
13	individualized sentencing. And in Graham, of course, we
14	equated juveniles who were sentenced to life without
15	parole to people who to adults who were sentenced to
16	death and said that those two should be treated
17	equivalently.
18	And I'm wondering whether that doesn't
19	suggest that the rules we have in the death penalty
20	context about individualized sentencing ought to apply
21	to juveniles who are sentenced to life without parole?
22	MR. NEIMAN: Justice Kagan
23	JUSTICE KAGAN: Regardless of how many
24	States do what and how many times this happened, but
25	just you know two facts. We have insisted on this in

- 1 the death penalty context, and we have equated the death
- 2 penalty context to juveniles without life -- parole in
- 3 Graham.
- 4 MR. NEIMAN: Justice Kagan, the answer on
- 5 that front, I think, is that Harmelin effectively sets a
- 6 bright line here such a that individualized sentencing
- 7 is only required in a -- in a death penalty case. And
- 8 it does so --
- JUSTICE KAGAN: But Harmelin is pre-Graham,
- 10 and in Graham we equated these two things, adults
- 11 sentenced to death and juveniles sentenced to life
- 12 without parole.
- MR. NEIMAN: Well, the reason why Harmelin
- 14 drew that line, and I quess more to the point, the
- 15 reason why Woodson v. North Carolina and Lockett v. Ohio
- 16 held that individualized sentencing was required in the
- 17 death penalty context was not because the sentence
- 18 happened to be the highest sentence that someone could
- 19 receive, but because the sentence was death. And there
- 20 were certain --
- JUSTICE ALITO: In Graham, didn't the Court
- 22 reject the idea of individualized sentencing in which
- 23 youth would be taken into account on a case-by-case
- 24 basis?
- 25 MR. NEIMAN: That's correct, Justice Alito.

- 1 The States were here jumping up and down asking for that
- 2 precise result, and we did not get it. And the reason
- 3 why, the result the Court thought was appropriate was
- 4 rather than allowing the defendant to argue for
- 5 mitigating circumstances and for the State to respond
- 6 with aggravating circumstances in one of these cases,
- 7 the answer was for the juvenile to get a mitigation
- 8 trump card.
- 9 And in one of these sentencing proceedings,
- 10 the juvenile would be able to say, I'm a juvenile, and
- 11 that means that I don't get the highest sentence I
- 12 otherwise would get. I win the sentencing phase as --
- 13 as a matter of law.
- 14 JUSTICE KAGAN: But the fact that we said
- 15 that individualized sentencing was not enough in one
- 16 context does not suggest that individualized sentencing
- 17 ought not to be the rule in a different context where
- 18 there is no categorical bar.
- 19 MR. NEIMAN: Justice Kagan, the response on
- 20 that front, I think, is that the rule from Woodson and
- 21 Lockett requiring individualized sentencing was one that
- 22 is specifically tailored to the unique aspects of the
- 23 death penalty, aspects that remain unique,
- 24 notwithstanding Graham and the rule it imposed with
- 25 respect to juveniles.

1 But also Woodson and Lockett -- although I 2 realize the premise of your question is that we should not look at what other States are doing, the premise of 3 Woodson and Lockett was that States had widely rejected 4 5 mandatory death penalty sentencing, and we know from the 6 legislative record here that States have done quite the 7 contrary when it comes to mandatory life without parole 8 sentencing --9 JUSTICE BREYER: So is that -- I have -- I understand your arguments, both sides. I think I've 10 pretty much gotten the arguments on the question of the 11 12 individualized sentencing. You can make an argument that it should be individualized, life without parole up 13 to age 18. Say 7 through 17, and there is an argument 14 15 the other way which you are making, okay. 16 What I want to know is your argument the opposite way on this one. What's the minimum age, in 17 your opinion, or is there any constitutional minimum at 18 19 all in respect to which you could give for a murder a 20 child life without parole? I mean, you could have an 21 instance of a 10-year-old or an 8-year-old. I mean, is it totally up to the States, or is there a minimum? 22 23 if there is a minimum, what is it in your opinion. 24 MR. NEIMAN: Yes, Justice Breyer, I think there is a minimum now. 25

- 1 JUSTICE BREYER: What is it?
- 2 MR. NEIMAN: It -- I would be hesitant to
- 3 commit to a minimum without --
- JUSTICE BREYER: Well, do your best.
- 5 MR. NEIMAN: Without further factual
- 6 development --
- JUSTICE BREYER: Do you want to say 12? Do
- 8 you want to say 10? Do you want to say 9? Because as
- 9 soon as whatever you say, I'm going to say, "and why not
- 10 14?"
- 11 (Laughter.)
- 12 MR. NEIMAN: Okay. I will say -- I would
- 13 argue if I were the State up here trying to defend a
- 14 12-year-old sentence, I would argue that that was the
- 15 line. So a 12 -- well, no -- well, yes. Someone who's
- 16 either --
- 17 JUSTICE BREYER: Do you see the difficulty?
- 18 All right. So now put yourself in my position.
- 19 JUSTICE SCALIA: I was beginning to agree
- 20 with you about this case, because I thought you were
- 21 appealing to what the American people think about the
- line or maybe to the common law, now that common law had
- 23 a rule of the age of reason. I think below 12, you
- 24 couldn't -- at least you couldn't impose the death
- 25 penalty. Maybe you couldn't even convict for a felony.

- 1 But you just plucked some number out of the air. Why
- 2 can't I pluck one out of the air if you pluck one out of
- 3 the air?
- 4 MR. NEIMAN: Justice Scalia, I was about to
- 5 give Justice Breyer the arguments that I would make if I
- 6 were the State in those circumstances about why that's
- 7 the line. Reason number one is national consensus.
- 8 JUSTICE ALITO: If we look to objective
- 9 indicia, as all of the cases in this line have, what is
- 10 the lowest age as to which you can say there is any
- 11 indication of a societal consensus that this is okay?
- 12 Would it be 14?
- MR. NEIMAN: Well --
- 14 JUSTICE ALITO: How many States allow it for
- 15 a 13-year-old or a 12-year-old?
- 16 MR. NEIMAN: The number of States that allow
- 17 it for a 12-year-old are somewhere around -- well, I
- 18 suppose that number is close to 10 or so. So that's one
- 19 reason I would draw the line around 12 or so. If you
- 20 look at, for example, the table --
- 21 JUSTICE ALITO: 10 states will allow it for
- 22 a 12-year-old. How many would allow it for a
- 23 13-year-old? Do you happen to know?
- MR. NEIMAN: At that point, we are getting
- 25 up to much more substantial numbers. I guess when we

- 1 get up to 14, we are somewhere in the realm of 30 or
- 2 more.
- JUSTICE GINSBURG: If you take into account,
- 4 when the -- the child is in the juvenile system
- 5 initially, has to be moved to the adult system. Is the
- 6 judgment -- is there any cutoff on the transfer? Or can
- 7 a child be transferred to the adult system at any age?
- 8 MR. NEIMAN: Well, that I think is the
- 9 appropriate line in terms of thinking about what the
- 10 minimum is here. The answer depends on the
- 11 jurisdiction. In Alabama, 14 is the minimum. But that
- 12 number is, compared to a lot of other jurisdictions, a
- 13 little high.
- JUSTICE GINSBURG: So if you are under 14,
- 15 you can't be transferred out of the juvenile system?
- 16 MR. NEIMAN: That's correct. In Alabama, if
- 17 you are under 14, you can't be transferred out. Now,
- 18 many other States, at age 13, you can be transferred
- 19 in -- you can be transferred into the adult system which
- 20 is why there are few 13-year-olds serving this sentence
- 21 but --
- JUSTICE GINSBURG: If he were only 13, he
- 23 would get out when? When he was 21?
- MR. NEIMAN: In Alabama, the juvenile
- 25 justice system's jurisdiction terminates at 21, yes.

- 1 JUSTICE BREYER: That's why he is arguing
- 2 that the legislatures don't focus on it. If you do a
- 3 public opinion poll, or just ask me, for example, or ask
- 4 anyone, you say the question is: Should -- at what age
- 5 should juveniles be able to be transferred out of the
- 6 juvenile system into the adult system?
- 7 You might get one answer. Maybe 14, maybe
- 8 15, maybe 12.
- 9 But if you put the question: At what age
- 10 should they be receiving a mandatory life without
- 11 parole, the answer might be different. And his point is
- 12 they never ask that question. They ask the first
- 13 question; not the second. And that disturbs me enough
- 14 to think that I can't think the answer to this question
- 15 I asked you just relies on public opinion polls or even
- 16 just the number of States. I am not sure about it.
- 17 But that's why I want to hear your response,
- 18 because it sounds like we are arguing between whether it
- 19 should be 13, 12, or 14, in terms of an absolute cutoff.
- 20 So how do I approach that? I'm asking you for help on
- 21 that one. I know you have a side in this. But I say,
- 22 well, we are talking about 14, and we have all this
- 23 scientific literature and so forth.
- MR. NEIMAN: Justice Breyer, the reason why
- 25 it's fair to infer that legislatures would have

- 1 concluded that a 14-year-old, for example, in Alabama
- 2 would be subject to a mandatory life without parole
- 3 sentence is precisely because it's mandatory. Surely
- 4 the legislatures understood that when they were
- 5 transferring persons who committed crimes like
- 6 aggravated murder that were well within the heartland of
- 7 the crimes for which the transfer statutes were
- 8 intended, those offenders would be subject to the
- 9 minimum sentences at least.
- 10 It's quite another thing to say, well, the
- 11 legislature might have enacted a statute providing for
- 12 transfer for a 14-year-old; and for a non-homicide
- 13 crime, they might have assumed that the person would get
- 14 less than the maximum in terms of life without parole.
- 15 But surely the legislators understood that those
- offenders would at least get the minimum.
- 17 And the reason the line is more safely drawn
- 18 at 13 or 12, it's because if you look at, for example,
- 19 the tables from the Department of Justice reports that
- 20 both sides and the amici have cited listing the transfer
- 21 ages, by and large, the number seems to be cut off at 12
- 22 or so. And 12 would be on the very bottom of the range;
- 23 and if I were a defense attorney, I would be arguing
- 24 much harder for a line at 13 than 12. I imagine if I
- 25 were a defense attorney, I'd be arguing for an even

- 1 higher line than that.
- 2 But the point is that if we are going to
- 3 judge this in terms of objective indicia of what society
- 4 has decided, that seems to be the line that society has
- 5 drawn. That line --
- JUSTICE KENNEDY: In the Petitioner's brief,
- 7 the idea of deterrence kind of drops by the way side.
- 8 Have there been any studies that show that there is a
- 9 deterrence value? I remember in Roper, there was
- 10 actually discussion among the young people before they
- 11 committed the crime as to whether or not they could get
- 12 the penalty. It was actually right there in the record.
- 13 Does the State rely on the deterrence component of the
- 14 punishment here?
- MR. NEIMAN: Justice Kennedy, we think that
- 16 deterrence is in the mix, but it's certainly not the
- 17 primary goal that these statutes serve when --
- JUSTICE KENNEDY: Is it retribution?
- 19 MR. NEIMAN: Retribution, Justice Kennedy,
- 20 would be the primary goal, bringing society's
- 21 retributive force to bear on those who commit the worst
- 22 sort of crimes.
- 23 JUSTICE KENNEDY: Retribution, of course, is
- 24 related to personal culpability. We said that in Tison,
- 25 and that loops back into the minor problem.

1 MR	. NEIMAN:	That's	exactly	right,
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- 2 Justice Kennedy, but I think one point on which Mr.
- 3 Miller and the State fundamentally disagree here is it
- 4 what we can conclude about a juvenile's culpability when
- 5 the juvenile has committed aggravated murder. The
- 6 reason why Graham came out as it did, the reason why
- 7 life without parole was not permissible, was because
- 8 Graham himself had not committed murder. The Court
- 9 there said that meant that Graham's culpability was
- 10 twice diminished, once because he was a juvenile and
- 11 once because he had not committed murder.
- 12 Well, here we have the hypothetical from
- 13 Graham where the one level of diminishment is gone. And
- 14 Miller has -- Miller is entitled to a one-level
- 15 diminishment because of his juvenile status, but he is
- 16 not entitled to that second level of diminishment which
- 17 he is what he is seeking here.
- JUSTICE KENNEDY: Are you aware of any
- 19 statistics that give us some quantitative sense as to
- 20 how many juveniles after years and years of prison show
- 21 significant rehabilitation? Do we know anything about
- 22 that?
- 23 MR. NEIMAN: Justice Kennedy, I know of no
- 24 statistics on that particular front. I imagine that
- 25 some vignettes could be told about success stories and

- 1 some vignettes could be told about stories that were not
- 2 success stories.
- JUSTICE SCALIA: Do you have any reason to
- 4 think that juveniles are any better than anyone else as
- 5 far as learning from prison is concerned? I mean,
- 6 recidivism is a big problem, isn't it? People who have
- 7 been to prison go out and commit the same crimes again,
- 8 don't they?
- 9 MR. NEIMAN: That's exactly right,
- 10 Justice Scalia.
- 11 JUSTICE SCALIA: Is there any reason to
- 12 think that juveniles are any different?
- MR. NEIMAN: Justice Scalia, I haven't seen
- 14 any studies that would suggest that juveniles do better,
- 15 particularly when they are subjected to the sorts of
- 16 crimes that I think everyone would have -- or the sorts
- of offenses, let me say, that I think everyone would
- 18 agree the Constitution would have to permit a sentence
- 19 of say 40 years minimum or the like.
- 20 So I just don't think -- I think society --
- 21 society's primary goal here or the Government's primary
- 22 goal here is expressing the retributive judgment about
- 23 the wrongfulness of murder and why it's different from,
- 24 not homicide, but I think governments are quite
- 25 legitimate and quite reasonable when they also say that

- 1 they don't want to roll the dice on convicted murderers.
- 2 Society acts with particular revulsion when a convicted
- 3 murderer commits a crime again.
- 4 And even if -- and even if that difference
- 5 in terms of recidivism is no different, or even if the
- 6 possibility for recidivism is no different, the fact
- 7 that the person committed a murder once and might commit
- 8 a murder again is reason enough for legislatures to be
- 9 hesitant to allow for parole in these circumstances.
- 10 With respect to the penological purposes,
- 11 there's also an important purpose here with respect to
- 12 the unique factors and the unique circumstances that
- 13 murder victims and their families face.
- I think a lot of people hear about
- 15 life-without-parole sentences, and if they impose them
- 16 on political grounds or policy-based grounds, one of
- 17 their sort of pragmatic responses is, well, what's the
- 18 cost to all this? Why not just let these guys get their
- 19 parole hearings, give them that hope, and likely they
- 20 won't get parole anyway?
- 21 And there's really no cost to society at
- 22 least in allowing that process to occur, but the cost is
- 23 to the victims and their families who have to endure
- 24 what are often very painful hearings and parole
- 25 hearings. And when those come up on a frequent basis,

- 1 that sort of re-traumatization process is something that
- 2 governments can legitimately take into account when they
- 3 decide that for aggravated murder -- not for other
- 4 crimes but for aggravated murder -- that a
- 5 life-without-parole sentence is an appropriate sentence.
- 6 On the moral culpability point, there would
- 7 be some anomalies created by the rule that Miller is
- 8 seeking here. Miller's asking the Court to effectively
- 9 hold him in the same place in terms of his moral
- 10 culpability as the defendant in Graham. In other words,
- 11 Graham can only get life -- life with parole because of
- 12 his reduced moral culpability. And Miller is saying he
- 13 should only get life without parole because of his
- 14 reduced culpability.
- 15 So that would mean one of two things:
- 16 either the Eighth Amendment would put a murderer on the
- 17 same moral level as someone who committed a non-homicide
- 18 crime as in Graham; or Graham himself would be back in
- 19 this Court or a court of another jurisdiction arguing
- 20 that because Graham held that Graham himself had
- 21 categorically less culpability than someone like Miller,
- then Graham himself is entitled to a lesser punishment
- than the one that Miller, in fact, received.
- JUSTICE KAGAN: When you look at those two
- 25 cases and you look at the individuals, the child's

- 1 actions in the two cases, they really are remarkably
- 2 similar. They're sort of -- of a piece. Don't you
- 3 agree? I mean, how is it that the child's actions in
- 4 this case were any different from that in Graham?
- 5 MR. NEIMAN: Justice Kagan, I think that
- 6 Miller's actions were dramatically different from
- 7 Graham's actions; in part because Miller intended to
- 8 kill this victim, and killed the victim in a rather
- 9 gruesome way. So there's not an element of luck here in
- 10 terms of the fact that, oh, well, Graham was simply
- 11 lucky that he didn't commit --
- 12 JUSTICE GINSBURG: That's in -- in the
- 13 Jackson case. In the Jackson case, the crime was very
- 14 similar to --
- JUSTICE KAGAN: I'm sorry. Justice Ginsburg
- 16 is, of course, right.
- 17 MR. NEIMAN: Well, I defer to my colleague
- 18 from Arkansas in terms of the distinctions between
- 19 Jackson and Graham, but certainly with respect to
- 20 Miller's crime, his moral culpability is greater, and
- 21 the law should recognize that.
- JUSTICE KENNEDY: If the judge were to
- 23 determine under a -- a rule that the sentence can't be
- 24 mandatory whether or not life should be imposed, what
- 25 would be the sorts of factors that he would look at, or

- 1 do you think that those are just too ineffable, too
- 2 imprecise to be considered?
- 3 MR. NEIMAN: Well, Justice Kennedy, I think
- 4 it certainly would be possible to have a regime under
- 5 which a judge considered mitigating circumstances in a
- 6 case like this. Many jurisdictions have reasonably
- 7 opted for that route rather than the one that Alabama
- 8 and 26 other jurisdictions have.
- JUSTICE KENNEDY: They're just the standard
- 10 sorts of mitigating circumstances that we see in capital
- 11 cases and things?
- MR. NEIMAN: Absolutely. I think that's
- 13 exactly what would happen. You would have arguments
- 14 about certain murders being worse than others. And Mr.
- 15 Miller would have an opportunity to argue about other
- 16 mitigating circumstances relating to his background and
- 17 the like, as he's argued in his reply brief here.
- But at the same time, it's reasonable for
- 19 legislatures to conclude that they're going to draw a
- 20 line in the sand with respect to aggravated murder, such
- 21 that -- as a floor in terms of the appropriate
- 22 punishment, the defendant is going to get at the very
- 23 least life without parole, a punishment that's no doubt
- 24 severe but one that is less severe than the impact that
- 25 the crime has had on society.

1 And for those reasons, we'd ask the Court	to
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- 2 affirm.
- 3 CHIEF JUSTICE ROBERTS: Thank you,
- 4 Mr. Neiman.
- 5 Mr. Stevenson, you have four minutes
- 6 remaining.
- 7 REBUTTAL ARGUMENT OF BRYAN A. STEVENSON
- 8 ON BEHALF OF THE PETITIONER
- 9 MR. STEVENSON: Thank you,
- 10 Mr. Chief Justice.
- I just want to make clear that the rule we
- 12 seek would not require States to impose the same
- 13 sentence on juveniles convicted of homicides from
- 14 juveniles convicted of non-homicides. The States would
- 15 be free to do that if they chose to, but they could
- 16 certainly create a regime where it's life with parole
- 17 where there are different ages for eligibility. In
- 18 fact, the State of Nevada makes you eligible for parole
- 19 after 15 years if the crime is a non-homicide, 20 years
- 20 if it's a homicide.
- 21 The States would still have a great deal of
- 22 flexibility to create, consistent with this Court's
- 23 rule, a regime that makes these distinctions.
- Justice Kennedy, I did want to point --
- 25 direct your attention to two amicus briefs that I think

- 1 respond to two of the questions you've raised. There is
- 2 an amicus brief submitted by criminologists in this
- 3 case, and it looks specifically at the question of
- 4 deterrence. And what they've found is life without
- 5 parole has not had any measurable deterrent effect. The
- 6 States that don't put juveniles -- don't subject
- 7 children to life without parole have actually
- 8 experienced the same level of decrease in violent crime
- 9 and homicide as the States that do. And in fact, in
- 10 some of those jurisdictions, the decrease is even more
- 11 significant.
- 12 I also want to address your question,
- 13 Justice Scalia. There is -- there are some studies that
- 14 have established that juveniles are more likely or less
- 15 likely to recidivate after an intervention than adults.
- 16 Generally speaking, homicide offenders are categorically
- 17 less likely to recidivate than many non-homicide
- 18 offenders. Drug offenders and property crime offenders
- 19 are much more likely to recidivate than -- than homicide
- 20 offenders.
- 21 And so there's a lot to support that a
- 22 judgment rooted in these penological concerns would be
- 23 well-supported here.
- I also want to return, Justice Breyer, to
- 25 your question. Mr. Neiman has -- argued that we can

- 1 read into these statutes a commitment to imposing life
- 2 without parole at a particular age, and that age is the
- 3 age of transfer. I just want to highlight that the two
- 4 States with the largest populations of juveniles serving
- 5 life without parole by a huge margin are Pennsylvania
- 6 and Michigan, neither of which has a minimum age.
- 7 That means in those States, a child of any
- 8 age can be subject to a mandatory sentence of life
- 9 without parole. It's simply not true -- true that we
- 10 can read into those statutes in those jurisdictions any
- 11 kind of conscious commitment to thinking about age.
- 12 The other point I want to make --
- JUSTICE ALITO: Do you think the legislators
- in Pennsylvania and Michigan don't understand what their
- 15 laws provide?
- MR. STEVENSON: I -- I think that they
- 17 haven't thought about it. Yes, I do think that. I
- 18 mean, for example -- this goes to the next point I was
- 19 about to make -- my colleague keeps talking about
- 20 aggravated murder. In the State of Pennsylvania, it's
- 21 not just aggravated murder that subjects you to a
- 22 mandatory life without parole; if you're convicted of
- 23 second-degree murder -- no intent -- diminished -- it's
- 24 still mandatory life without parole.
- 25 We have 14-year-old children -- and again,

- 1 that's the largest cohort in our group -- in the State
- 2 of Pennsylvania convicted of clearly unintentional
- 3 killings that have been subject to mandatory life
- 4 without parole.
- 5 South Dakota does the same thing. I think,
- 6 where there is no minimum age and where you have that
- 7 kind of regime, I cannot -- I don't think we can
- 8 conclude that they've thought about, yes, it's
- 9 appropriate --
- 10 CHIEF JUSTICE ROBERTS: What if they -- what
- 11 if they do? I mean, what if, after our decision or even
- 12 after the argument, States go back and say, look, the
- 13 decision is based on the fact that they don't think we
- 14 know our law, that we haven't thought about it, so let's
- 15 have a hearing about it, and then we vote that yes,
- 16 there should be -- or no, there should not be a minimum
- 17 age. We think at 16, whatever age they do. Then does
- 18 the constitutional rule change?
- 19 MR. STEVENSON: Yes. I --
- 20 CHIEF JUSTICE ROBERTS: Once we get 30
- 21 States saying, look, we've thought about it and this is
- 22 our answer, then whether the Eighth Amendment prohibits
- 23 it or not changes?
- MR. STEVENSON: No, I -- I don't think it
- 25 changes, because there is an age at which this Court is

- 1 obligated under the Eighth Amendment to say a sentence
- of this sort, a permanent judgment that life-long
- 3 incarceration is -- is required --
- 4 CHIEF JUSTICE ROBERTS: Right. But one of
- 5 the things we take into account is societal consensus,
- 6 and you say we should ignore the 30 -- whatever it is --
- 7 States that allow this because they didn't really think
- 8 about it.
- 9 So I'm postulating let's make -- let's see
- 10 if they have thought about it.
- MR. STEVENSON: Yes.
- 12 Well, in -- in that regard, Justice --
- 13 Mr. Chief Justice, I think that we do have 13 States
- 14 that have thought about it, that have expressly looked
- 15 at this question of what the minimum age should be. And
- in 12 of those 13 States that have set the age above 14,
- 17 most of those States have set the age at 18. So if
- 18 that's the Court's lens, then I think that would support
- 19 the kind of rule that we're seeking here --
- JUSTICE SCALIA: What if, instead of
- 21 striking down the laws in these States, why don't we
- 22 just require the State legislatures to think about it,
- 23 all right? And -- and then see how many think about it,
- 24 and -- and come up with, you know, something that agrees
- 25 with you or doesn't agree with you.

1	MR. STEVENSON: Well, I think that's in
2	part
3	JUSTICE SCALIA: Wouldn't that be more
4	democratic somehow?
5	MR. STEVENSON: It might be more democratic
6	but I don't think it would be consistent with the
7	constitutional obligation that this Court has to protect
8	people who are vulnerable from excessive punishment.
9	And this is a cohort that we contend is the
L 0	most vulnerable and should be shielded from this
L1	excessive punishment.
L2	CHIEF JUSTICE ROBERTS: Thank you,
L3	Mr. Stevenson, Mr. Neiman.
L 4	The case is submitted.
L 5	(Whereupon, at 11:24 a.m., the case in the
L 6	above-entitled matter was submitted.)
L 7	
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